

Problem Set 3

Compact operators and spectrum

1 Problems

1. Let $(a_j)_{j \in \mathbb{N}}$ be a sequence of complex numbers. Consider the operator

$$M_a : \begin{cases} \ell^2 \rightarrow \ell^2 \\ (x_1, x_2, x_3, \dots) \mapsto (a_1 x_1, a_2 x_2, a_3 x_3, \dots). \end{cases}$$

- (a) Prove that M_a is compact if and only if $\lim_{j \rightarrow \infty} a_j = 0$.
- (b) Suppose that $\sup_{j \in \mathbb{N}} |a_j| < \infty$. Prove that M_a is bijective and has a bounded inverse if and only if $\inf_{j \in \mathbb{N}} |a_j| > 0$. Give a formula for M_a^{-1} .
- (c) Find the spectrum of M_a and show that $\sigma_p(M_a) = \{a_j \mid j \in \mathbb{N}\}$.
2. For $k \in L^2([0, 1] \times [0, 1])$, consider the operator

$$T : \begin{cases} L^2([0, 1]) \rightarrow L^2([0, 1]) \\ f(\cdot) \mapsto \int_0^1 k(\cdot, y) f(y) dy. \end{cases}$$

- (a) Prove that $\|T\| \leq \|k\|_{L^2([0,1] \times [0,1])}$.
- (b) Prove that T is compact.

(To solve this problem you may use the following fact: If $\{u_j \mid j \in \mathbb{N}\}$ is an orthonormal basis for $L^2([0, 1])$, then $\{(x, y) \mapsto u_j(x)u_k(y) \mid j, k \in \mathbb{N}\}$ is an orthonormal basis for $L^2([0, 1] \times [0, 1])$.)

3. Consider the operator $T : L^2([0, 1]) \rightarrow L^2([0, 1])$ defined by

$$(Tf)(x) = \int_0^1 k(x, y) f(y) dy \quad \text{for } x \in [0, 1]$$

where

$$k(x, y) = \begin{cases} 1 & \text{if } x \geq y \\ 0 & \text{if } x < y \end{cases}$$

for $(x, y) \in [0, 1] \times [0, 1]$. Find the spectrum of T .